



Attorney's Docket No.: 09857-030001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Martyn et al. Art Unit : Unknown
Serial No. : 09/404,518 Examiner : Unknown
Filed : September 23, 1999
Title : MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated July 27, 2001, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. Applicant hereby petitions under §1.97(e)(1) for this statement to be considered. A check for the \$130 petition fee of §1.17(h) is also enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

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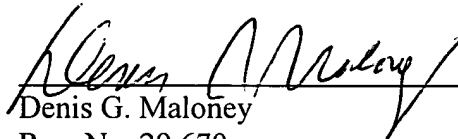
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Respectfully submitted,

Date: 10/26/01



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